

VIA eFILE
Form PTO-1449

Applicant: Michael T. Carley et al.
Serial No.: 10/787,073
Filing Date: February 24, 2004
For: CLOSURE DEVICE

Sheet 1 of 22
Confirmation No.: 9513
Att'y Docket No.: 16497.1.1.2.1
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Date	Name
/MT/A1	287,046	10/23/1883	Norton
A2	1,088,393	02/24/1914	Backus
A3	1,331,401	02/17/1920	Summers
A4	2,087,074	07/13/1937	Tucker
A5	2,453,227	11/09/1948	James
A6	2,684,070	07/20/1954	Kelsey
A7	2,944,311	07/12/1960	Schneckenberger
A8	2,969,887	01/31/1961	Darmstadt et al.
A9	3,015,403	01/02/1962	Fuller
A10	3,142,878	08/04/1967	Santora
A11	3,482,428	12/09/1969	Kapitanov et al.
↓ A12	3,586,002	06/22/1971	Wood

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Examiner <u>Initial*</u>	Document <u>Number</u>	Date	Name
/MT/A13	3,604,425	09/14/1971	Le Roy
A14	3,677,243	09/24/1971	Nerz
A15	3,757,629	09/11/1973	Schneider
A16	3,805,337	04/23/1974	Branstetter
A17	3,944,114	03/16/1976	Coppens
A18	4,014,492	03/29/1977	Rothfuss
A19	4,192,315	03/11/1980	Hilzinger et al.
A20	4,207,870	06/17/1980	Eldridge
A21	4,217,902	08/19/1980	March
A22	4,278,091	07/14/1981	Borzone
A23	4,318,401	03/09/1982	Zimmerman
A24	4,345,606	08/24/1982	Littleford
A25	4,396,139	08/02/1983	Hall et al.
↓ A26	4,411,654	10/25/1983	Boarini et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A27	4,412,832	11/01/1983	Kling et al.
A28	4,428,376	01/31/1984	Mericle
A29	4,485,816	12/04/1984	Krumme
A30	4,505,273	03/19/1985	Braun et al.
A31	4,505,274	03/19/1985	Speelman
A32	4,523,695	06/18/1985	Braun et al.
A33	4,525,157	06/25/1985	Valaincourt
A34	4,586,503	05/06/1986	Kirsch et al.
A35	4,607,638	08/26/1986	Crainich
A36	4,610,251	09/09/1986	Kumar
A37	4,610,252	09/09/1986	Catalano
A38	4,665,906	05/19/1987	Jervis
A39	4,687,469	08/18/1987	Osypka
↓ A40	4,724,840	02/16/1988	McVay et al

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A41	4,738,658	04/19/1988	Magro et al.
A42	4,744,364	05/17/1988	Kensey
A43	4,771,782	09/20/1988	Millar
A44	4,772,266	09/20/1988	Groshong
A45	4,777,950	10/18/1988	Kees, Jr.
A46	4,789,090	12/06/1988	Blake, III
A47	4,832,688	05/23/1989	Sagae et al.
A48	4,836,204	06/06/1989	Landymore et al.
A49	4,852,568	08/01/1989	Kensey
A50	4,860,746	08/29/1989	Yoon
A51	4,865,026	09/12/1989	Barrett
A52	4,874,122	10/17/1989	Froelich et al.
A53	4,878,915	11/07/1989	Brantigan
A54	4,887,601	12/19/1989	Richards

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Examiner <u>Initial</u> *	Document <u>Number</u>	Date	Name
/MT/ A55	4,917,087	04/17/1990	Walsh et al.
A56	4,934,364	06/19/1990	Green
A57	4,950,258	08/21/1990	Kawai et al.
A58	5,007,921	04/16/1991	Brown
A59	5,015,247	05/14/1991	Michelson
A60	5,021,059	06/04/1991	Kensey et al.
A61	5,026,390	06/25/1991	Brown
A62	5,053,008	10/01/1991	Bajaj
A63	5,061,274	10/29/1991	Kensey
A64	5,100,418	03/31/1992	Yoon et al.
A65	5,108,420	04/28/1992	Marks
A66	5,108,421	04/28/1992	Fowler
A67	5,114,032	05/19/1992	Laidlaw
A68	5,114,065	05/19/1992	Storace

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Examiner <u>Initial*</u>	Document <u>Number</u>	Date	Name
/MT/ A69	5,131,379	07/21/1992	Sewell, Jr.
A70	5,147,381	09/15/1992	Heimerl et al.
A71	5,156,609	10/20/1992	Nakao et al.
A72	5,167,643	12/01/1992	Lynn
A73	5,171,249	12/15/1992	Stefanchik et al.
A74	5,176,648	01/05/1993	Holmes et al.
A75	5,192,300	03/09/1993	Fowler
A76	5,192,301	03/09/1993	Kamiya et al.
A77	5,192,302	03/09/1993	Kensey et al.
A78	5,209,756	05/11/1993	Seedhorm et al.
A79	5,222,974	06/29/1993	Kensey et al.
A80	5,246,443	09/21/1993	Mai
A81	5,275,616	01/04/1994	Fowler
A82	5,290,243	03/01/1994	Chodorow et al.

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Examiner Initial*	Document Number	Date	Name
/MT/A83	5,290,310	03/01/1994	Makower et al.
A84	5,292,309	03/08/1994	Van Tassel et al.
A85	5,292,332	03/08/1994	Lee
A86	5,304,184	04/19/1994	Hathaway et al.
A87	5,306,254	04/26/1994	Nash et al.
A88	5,318,542	06/07/1994	Hirsch et al.
A89	5,320,639	06/14/1994	Rudnick
A90	5,334,216	08/02/1994	Vidal et al.
A91	5,334,217	08/02/1994	Das
A92	5,350,399	09/27/1994	Erlebacher et al.
A93	5,352,229	10/04/1994	Goble et al.
A94	5,366,458	11/22/1994	Korthoff et al.
A95	5,366,479	11/22/1994	McGarry et al.
↓ A96	5,395,030	03/07/1995	Kuramoto et al.

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A97	5,411,520	05/02/1995	Nash et al.
A98	5,413,571	05/09/1995	Katsaros et al.
A99	5,416,584	05/16/1995	Kay
A100	5,417,699	05/23/1995	Klein et al.
A101	5,431,639	07/11/1995	Shaw
A102	5,443,481	08/22/1995	Lee
A103	5,456,400	10/10/1995	Shichman et al.
A104	5,470,010	11/28/1995	Rothfuss et al.
A105	5,474,557	12/12/1995	Mai
A106	5,478,352	12/26/1995	Fowler
A107	5,478,354	12/26/1995	Tovey et al.
A108	5,478,353	07/18/1994	Yoon et al.
A109	5,486,195	01/23/1996	Myers et al.
↓ A110	5,507,755	04/16/1996	Gresl et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A111	5,522,840	06/04/1996	Krajicek
A112	5,527,322	06/18/1996	Klein et al.
A113	5,536,251	07/16/1996	Evard et al.
A114	5,544,802	08/13/1996	Crainich
A115	5,560,532	10/01/1996	DeFonzo et al.
A116	5,591,205	01/07/1997	Fowler
A117	5,601,602	02/11/1997	Fowler
A118	5,620,461	04/15/1997	Muijs et al.
A119	5,643,318	07/01/1997	Tsukernik et al.
A120	5,645,565	07/08/1997	Rudd et al.
A121	5,645,566	07/08/1997	Brenneman et al.
A122	5,645,567	07/08/1997	Crainich
A123	5,674,231	10/07/1997	Green et al.
A124	5,676,689	10/14/1997	Kensey et al.

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/MT/ A125	5,683,405	11/04/1997	Yacoubian et al.
A126	5,690,674	11/25/1997	Diaz
A127	5,695,504	12/09/1997	Gifford, III et al.
A128	5,695,505	12/09/1997	Yoon
A129	5,716,375	02/10/1998	Fowler
A130	5,725,554	03/10/1998	Simon et al.
A131	5,728,114	03/17/1998	Evans et al.
A132	5,728,122	03/17/1998	Leschinsky et al.
A133	5,732,872	03/31/1998	Bolduc et al.
A134	5,782,844	07/21/1998	Yoon et al.
A135	5,782,860	07/21/1998	Epstein et al.
A136	5,782,861	07/21/1998	Cragg et al.
A137	5,810,846	09/22/1998	Virnich et al.
A138	5,810,851	09/22/1998	Yoon

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/A139	5,817,113	10/06/1998	Gifford, III et al.
A140	5,820,631	10/13/1998	Nobles
A141	5,830,125	11/03/1998	Scribner et al.
A142	5,855,312	01/05/1999	Toledano
A143	5,861,005	01/19/1999	Kontos
A144	5,871,474	02/16/1999	Hermann et al.
A145	5,871,501	02/16/1999	Leschinsky et al.
A146	5,871,525	02/16/1999	Edwards et al.
A147	5,879,366	03/09/1999	Shaw et al.
A148	5,902,310	05/11/1999	Foerster et al.
A149	5,910,155	06/08/1999	Ratcliff et al.
A150	5,922,009	07/13/1999	Epstein et al.
A151	5,935,147	08/10/1999	Kensey et al.
A152	5,941,890	08/24/1999	Voegle et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	Date	Name
/MT/ A153	5,951,518	09/14/1999	Licata et al.
A154	5,951,589	09/14/1999	Epstein et al.
A155	5,964,782	10/12/1999	Lafontaine et al.
A156	5,984,934	11/16/1999	Ashby et al.
A157	5,984,949	11/16/1999	Levin
A158	6,004,341	12/21/1999	Zhu et al.
A159	6,007,563	12/28/1999	Nash et al.
A160	6,022,372	02/08/2000	Kontos
A161	6,036,720	03/14/2000	Abrams et al.
A162	6,045,570	04/04/2000	Epstein et al.
A163	6,048,358	04/11/2000	Barak
A164	6,056,768	05/02/2000	Cates et al.
A165	6,056,769	05/02/2000	Epstein et al.
↓ A166	6,056,770	05/02/2000	Epstein et al.

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A167	6,063,085	05/16/2000	Tay et al.
A168	6,077,281	06/20/2000	Das
A169	6,077,291	06/20/2000	Das
A170	6,080,182	06/27/2000	Shaw et al.
A171	6,080,183	06/27/2000	Tsugita et al.
A172	6,090,130	07/18/2000	Nash et al.
A173	6,110,184	08/29/2000	Weadock
A174	6,113,612	09/05/2000	Swanson et al.
A175	6,117,148	09/12/2000	Ravo
A176	6,120,524	09/19/2000	Taheri
A177	6,149,660	11/21/2000	Laufer et al.
A178	6,152,937	11/28/2000	Peterson et al.
A179	6,197,042	03/06/2001	Ginn et al.
A180	6,277,140	08/21/2001	Ginn et al.

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/MT/ A181	6,287,322	09/11/2001	Zhu et al.
A182	6,305,891	10/23/2001	Burlingame
A183	6,322,580	11/27/2001	Kanner
A184	6,334,865	01/01/2002	Redmond et al.
A185	6,348,064	02/19/2002	Kanner
A186	6,391,048	05/21/2002	Ginn et al.
A187	6,398,752	06/04/2002	Sweezer et al.
A188	6,402,765	06/11/2002	Monassevitch et al.
A189	6,409,739	06/25/2002	Nobles et al.
A190	6,443,158	09/03/2002	Lafontaine et al.
A191	6,450,391	09/17/2002	Kayan et al.
A192	6,461,364	10/08/2002	Ginn et al.
A193	6,488,692	12/03/2002	Spence et al.
↓ A194	6,506,210	01/14/2003	Kanner

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A195	6,533,762	03/18/2003	Kanner et al.
A196	6,547,806	04/15/2003	Ding
A197	6,582,452	06/24/2003	Coleman et al.
A198	6,602,263	08/05/2003	Swanson et al.
A199	6,616,686	09/09/2003	Coleman et al.
A200	6,623,510	09/23/2003	Carley et al.
A201	6,626,918	09/30/2003	Ginn et al.
A202	6,632,238	10/14/2003	Ginn et al.
A203	6,634,537	10/21/2003	Chen
A204	6,652,538	11/25/2003	Kayan et al.
A205	6,679,904	01/20/2004	Gleeson et al.
A206	6,695,867	02/24/2004	Ginn et al.
A207	6,719,777	04/13/2004	Ginn et al.
A208	6,726,704	04/27/2004	Loshakove et al.

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/MT/ A209	6,755,842	06/29/2004	Kanner et al.
A210	6,767,356	07/27/2004	Kanner et al.
A211	6,780,197	02/21/2002	Carley et al.
A212	6,926,731	08/09/2005	Coleman et al.
A213	RE 31,855	03/26/1985	Osborne
A214	RE 34,866	02/21/1995	Kensey et al.
A215	2001/0007077	07/05/2001	Ginn et al.
A216	2001/0047180	11/29/2001	Grudem et al.
A217	2002/0026208	02/28/2002	Belef
A218	2002/0026215	02/28/2002	Redmond et al.
A219	2002/0049472	04/25/2002	Coleman et al.
A220	2002/0072768	06/13/2002	Ginn
A221	2002/0077657	06/20/2002	Ginn et al.
A222	2002/0082641	06/27/2002	Ginn et al.

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/MT/ A223	2002/0107542	08/08/2002	Kanner et al.
A224	2002/0151921	10/17/2002	Kanner et al.
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A233	2003/0158577	08/21/2003	Pantages et al.
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A235	2004/0010285	01/15/2004	Carley et al.
↓ A236	2004/0087985	05/06/2004	Loshakove et al.

Examiner: /Melanie Tyson/ Date Considered: 08/20/2007

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Form PTO-1449

Applicant: Michael T. Carley et al.
Serial No.: 10/787,073
Filing Date: February 24, 2004
For: CLOSURE DEVICE

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Confirmation No.: 9513
Att'y Docket No.: 16497.1.1.2.1
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Examiner <u>Initial</u> *	Document <u>Number</u>	Date	Name
/MT/A237	2004/0010285	01/15/2004	Carley et al.
A238	2004/0039414	02/26/2004	Carley et al.
A239	2004/0254591	12/16/2004	Kanner et al.
A240	2004/0267312	12/30/2004	Kanner et al.
↓ A241	2005/0090859	04/28/2005	Ravlkumar

Foreign Patent Documents

Examiner <u>Initial</u> *	Document <u>Number</u>	Publication Date	Country or Patent Office
/MT/A242	DE 297 23 736 U 1	04/01/1999	Germany
A243	DE 197 11 288	01/10/1998	Germany
A244	EP 0 386 361	09/12/1990	EPO
A245	EP 0 534 696	03/31/1993	EPO
A246	EP 0 756 851	02/05/1997	EPO
↓ A247	EP 0 774 237	05/21/1997	EPO

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Publication Date</u>	Country or <u>Patent Office</u>
/MT/A248	EP 0 858 776	08/19/1998	EPO
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A250	FR 2 443 238	07/04/1980	France
A251	FR 2 722 975	02/02/1996	France
A252	GB 1 358 466	07/03/1974	Great Britain
A253	GB 2 075 144	11/11/1981	Great Britain
A254	WO 96/24291	08/15/1996	PCT
A255	WO 97/07741	03/06/1997	PCT
A256	WO 97/20505	06/12/1997	PCT
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A258	WO 98/24374	06/11/1998	PCT
A259	WO 98/25508	06/18/1998	PCT
A260	WO 99/62408	12/09/1999	PCT
↓ A261	WO 00/71032	11/30/2000	PCT

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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A264	WO 00/56228	09/28/2000	PCT
A265	WO 00/06029	02/10/2000	PCT
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A267	WO 01/35832	05/25/2001	PCT
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A271	WO 02/45593	06/13/2002	PCT
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Other Documents
(including author, title, pertinent pages, etc.)

Examiner
Initial*

/MT/ A273

Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
<u>/MT/ A1</u>	5,728,132	03/17/1998	Van Tassel et al.
<u>/MT/ A2</u>	6,149,667	11/21/2000	Hovland et al.

References Cited by Applicants

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